

**Virginia State University  
Internal Audit  
Five-Year Internal Audit Plan**

**Risk-Based Audits**

	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>
<b>Information Systems</b>	VITA Security Program and Audit Standards	VITA Security Program and Audit Standards	VITA Security Program and Audit Standards	VITA Security Program and Audit Standards	VITA Security Program and Audit Standards
		One Card Operations			
<b>Governance</b>	Operational Control Environment	Operational Control Environment	Operational Control Environment	Operational Control Environment	Operational Control Environment
	Information Technology Control Environment	Information Technology Control Environment	Information Technology Control Environment	Information Technology Control Environment	Information Technology Control Environment
<b>Operating Controls</b>	Petty Cash	University-wide Cash Collection Points	Petty Cash	University-wide Cash Collection Points	University-wide Cash Collection Points
	Operating Budget and Spend Control	Cash Management Suspense Accounts	Inventory Accountability – Capitalization and Disposal – Computers, Peripherals and Electronics	Petty Cash	Petty Cash

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<b>Personal Privacy Information Compliance</b>	Student Affairs and Enrollment Management Services	Student Affairs and Enrollment Management Services	Student Affairs and Enrollment Management Services	Student Affairs and Enrollment Management Services	Student Affairs and Enrollment Management Services
		Career Planning & Placement	Development		
	Financial Aid	Academic Affairs	Academic Affairs	Academic Affairs	Academic Affairs
	Human Resources	Police and Public Safety	Administration and Finance	Administration and Finance	Administration and Finance
<b>Procurement</b>		Contract Administration – Terms/Conditions/ Service-Level Performance/ Private Partnerships – Revenue-Sharing Agreements/Incentives	Contract Administration – Terms/Conditions/ Service-Level Performance/ Private Partnerships – Revenue-Sharing Agreements/Incentives	Contract Administration – Terms/Conditions/ Service-Level Performance/ Private Partnerships – Revenue-Sharing Agreements/Incentives	Contract Administration – Terms/Conditions/ Service-Level Performance/ Private Partnerships – Revenue-Sharing Agreements/Incentives
<b>Enrollment Management</b>		Repeat Grade Policy and Procedures	Graduate Studies and Continuing Education	Admissions, Registrar, and Academic Advising - Student Grade Authorization and Recordation Procedures	
<b>Sponsored Programs</b>				Effort Reporting	Grants and Contract Receivables Management
<b>Follow Up Prior Year (FUPY)</b>	DPPS	FUPY	FUPY	FUPY	FUPY

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**Management and Advisory Services**

	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>
<b>ARMICS</b>	Agency-Level Internal Control Assessment				
	Process and Transaction - Level Internal Control Assessment	Corrective Action Plan Follow Up/Recommendations	Corrective Action Plan Follow Up/Recommendations	Corrective Action Plan Follow Up/Recommendations	Corrective Action Plan Follow Up/Recommendations
<b>Procurement</b>	Technology Outsourcing Contract				
<b>Advisory Services</b>	Emerging Compliance/Internal Control Issues/Observations	Emerging Compliance/Internal Control Issues/Observations	Emerging Compliance/Internal Control Issues/Observations	Emerging Compliance/Internal Control Issues/Observations	Emerging Compliance/Internal Control Issues/Observations
	Policies and Procedures	Policies and Procedures	Policies and Procedures	Policies and Procedures	Policies and Procedures
	President's Cabinet	President's Cabinet	President's Cabinet	President's Cabinet	President's Cabinet
	University Technology Coordinating Committee	University Technology Coordinating Committee	University Technology Coordinating Committee	University Technology Coordinating Committee	University Technology Coordinating Committee
	Banner Executive Oversight/Steering/Implementation	Banner Executive Oversight/Steering/Implementation			
<b>Management Requests</b>	Miscellaneous	Miscellaneous	Miscellaneous	Miscellaneous	Miscellaneous

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**Special Investigations and Board Requests**

	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>
<b>Division of State Internal Auditors</b>	State Employee Fraud Waste and Abuse	State Employee Fraud Waste and Abuse	State Employee Fraud Waste and Abuse	State Employee Fraud Waste and Abuse	State Employee Fraud Waste and Abuse
<b>DPPS and State Police Investigations (COV 30-138)</b>	Fraud, Waste and Abuse	Fraud, Waste and Abuse	Fraud, Waste and Abuse	Fraud, Waste and Abuse	Fraud, Waste and Abuse
<b>President Contract</b>	President's Entertainment Account	President's Entertainment Account	President's Entertainment Account	President's Entertainment Account	President's Entertainment Account
<b>Board Requests</b>	Miscellaneous	Miscellaneous	Miscellaneous	Miscellaneous	Miscellaneous